



PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/684,852
Filing Date	10/14/2003
First Named Inventor	Hong-Goo Kang
Art Unit	
Examiner Name	

Attorney Docket Number 2000-0141Con

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard receipt Notice of Omitted Items Figures 2, 3 and copy of stamped postcard
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Thomas M. Isaacson, Reg. No. 44166

Signature

Date February 4, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Thomas M. Isaacson		
Signature		Date	February 4, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130)

## Complete if Known

Application Number	10/684,852
Filing Date	October 14, 2003
First Named Inventor	Hong-Goo Kang
Examiner Name	T. Smits
Art Unit	2654
Attorney Docket No.	2000-0141Con

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	502960
Deposit Account Name	Law Office of T. Isaacson

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** = 0	X 0	= 0
			- 3** = 0	X 0	= 0
					= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 0)		

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	130
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)

(Complete if applicable)

SUBMITTED BY			
Name (Print/Type)	Thomas M. Isaacson	Registration No. (Attorney/Agent)	44166
Signature	T. Isaacson		
Date	February 4, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Hong-Goo Kang :  
Serial No.: 10/684,852 :  
Filed: 10/14/2003 :  
FOR: PSEUDO-CEPSTRAL :  
ADAPTIVE SHORT-TERM :  
POST-FILTERS FOR SPEECH :  
CODERS :

**PETITION**

Mail Stop: Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Petition is in response to the Notice of Omitted Items In a Nonprovisional Application filed Under 37 C.F.R. 1.53(b). The Notice indicated that Figures 2 and 3 appeared to be omitted from patent application. Applicant hereby Petitions the Commissioner for Patents that the omitted figures were in fact deposited with the U.S. PTO with the nonprovisional patent application papers. The filing date of the Application including the subject matter of figures 2 and 3 should be October 14, 2003.

Exhibit A, attached to this Petition, provides a copy of the date-stamped return receipt postcard received from the Patent Office based on the nonprovisional filing papers. The postcard indicates that included in the filing papers were 9 sheets of formal drawings. MPEP 503 states that "A postcard receipt which itemizes and

properly identifies the items which are being filed serves as *prima facie* evidence of receipt in the PTO of all the items listed thereon on the date stamped thereon by the PTO." Therefore, the attached stamped postcard provides *prima facie* evidence that figures 2 and 3 were deposited with the Patent Office with the other application papers. Exhibit B includes another copy of figures 2 and 3 to complete the application papers for the Patent Office file.

Applicant further notes that MPEP 503 requires the person receiving the items in the PTO will check the listing on the postcard against the filed items to insure that all items identified on the postcard receipt are in fact filed. If any of the items listed on the postcard were missing from the papers filed, the person is to cross off and initial on the postcard those items that are missing. On the stamped postcard Applicant received from the PTO, there are no marks or initials on the checked drawings portion. Therefore, there is no indication by the person checking the filing papers against the postcard receipt that any items were missing.

Therefore, Applicant respectfully requests that the Commissioner accept that figures 2 and 3 were in fact deposited in the U.S. PTO on October 14, 2003. Applicant also requests that the petition fee be refunded accordingly.

(Applicant also notes that in the Preliminary Amendment of the continuation patent application, Applicant incorporated by reference the contents of the parent case, which included all the figures including figures 2 and 3. Therefore, via incorporation of the parent case contents, figures 2 and 3 are included in the present application)

**CONCLUSION**

An early action on the merits is respectfully requested. Any questions regarding this Petition should be directed to the undersigned. Please charge and/or credit deposit account 502960.

Respectfully submitted,

Date: 2/4/04

  
by Thomas M. Isaacson  
Attorney for Applicants  
Reg. No. 44,166  
Phone: (410) 414-3056

**Correspondence Address:**

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AT&T Corp.  
P.O. Box 4110  
Middletown, NJ 07748



Attorney's Initials: TMI  
COMMISSIONER FOR PATENTS, BOX 1400

Docket No. 2002-01416n

Applicant Heng-goo Kang

Express Mail No. EL 758616991US  
 Certificate of Mailing

Application No. 109/834,391

Filing Date 10/14/03

- Specification # of Pages 20
- Claims # of Pages 3
- Abstract # of Pages 1
- Drawings # of Sheets 9  Formal
- Response to O.A. (Type)
- Response to Notice to File Missing Parts
- Amendment (PRELIMINARY)
- Notice of Appeal
- Appeal Brief
- Other
- PCT Request Form
- PCT Demand Form
- PCT Invitation to Correct

- Assoc./Power of Attorney
- Assignment
- Recordation Form Cover Sheet
- IDS (Information Disclosure Statement)
- Oath and Declaration
- PTO 1449 Form with references
- Preliminary Amendment
- Transmittal Letter  Fee Transmittal Form
- Issue Fee Transmittal
- Letter to Official Draftsman 22386 U.S. PTO
- Extension of Time 10/684852



PT 22 Papers mailed to Commissioner of Patents and Trademarks

